



PATIENT DATA PROTECTION CONSENT FOR MOLECULAR PROFILING

This consent form provides you information about tumor molecular profiling (Caris Molecular Intelligence™) provided by Caris Life Sciences [Caris Life Sciences, 6655 N MacArthur Blvd, Irving, TX 75039, USA] (“Caris”), a specialized clinical laboratory operating in Phoenix, Arizona, USA. As part of your care, your healthcare provider has recommended that a tumor specimen from you be assessed to provide its unique molecular profile. The “molecular profile” for an individual’s tumor or cancer consists of certain DNA, RNA or proteins that are referred to as “biomarkers,” which may be present in cells, tissue or blood samples obtained from you. Different biomarkers associate with different therapeutics or drugs. Caris performs a series of tests to identify the unique biomarkers in your tumor, and this information may in turn help your healthcare provider with additional options to treat your particular cancer based on its tumor molecular profile (this is commonly referred to as “targeted therapy” or “personalized treatment”). The molecular profiling results and interpretations are intended to supplement and not be a substitute for your physician’s medical judgment or advice. There is no guaranteed benefit from having such testing performed. Molecular profiling tests will generally utilize a sample already taken as part of your regular cancer care (such as a tissue sample taken as a biopsy or during surgery, or a blood sample in some cases). Your healthcare provider will let you know if you need to have another biopsy or sample obtained. A recent specimen is preferred, because over time the molecular profile of a tumor may change.

By signing at the bottom of this document, you consent to the collection, processing, use and transfer of your specimen and Personal Data (defined below) by your healthcare provider and by your healthcare's service provider Caris as described below:

Specimen and Personal Data Provided by your healthcare provider to Caris

Your healthcare provider will provide to Caris your specimen, as well as your personal and medical information (i.e., your name, patient number, date of birth, gender, address, medical history and pathology report) (“Personal Data”) as part of the testing. Your Personal Data will also include the data resulting from Caris’s performance of the molecular profile testing based on your specimen, which will be provided to your healthcare provider. Caris has implemented reasonable technical, administrative and organizational security measures to comply with applicable Data Protection Laws¹ to protect your specimen and Personal Data from unauthorized or inadvertent disclosure or accidental loss or destruction.

Use, Processing, Transfer and Disclosure of Your Specimen and Personal Data

Your specimen (biopsy, tissue or blood sample) along with your Personal Data will be sent by your healthcare provider, acting as the data controller or data owner of such data, to Caris in the United States where the tumor profiling tests are performed. Caris acts as data processor (or equivalent term under local law) for your Personal Data. The Data Protection Laws of the United States may not be equivalent to those in your country of residence. Certain Personal Data may also be transferred or provided to Caris’s offices in your region who coordinate with your healthcare provider, Caris distributors in your region or both in order to perform the molecular profile testing. For more information on regional office location please visit www.carislifesciences.com or ask your healthcare provider which regional offices and Caris distributors will receive your Personal Data.

Caris will utilize your Personal Data in furtherance of performing the tests and providing the results to you, your healthcare provider, or other recipients as authorized by you. Caris may also process, transfer and disclose your Personal Data for the following additional purposes and to the following additional recipients:

- the distributor of Caris services in your region, so that the treatment and services you receive may be billed to and payment may be collected from you, your insurance company, or a third party you designate;
- operational reasons as well as to review the quality of our services and to evaluate the performance of our

¹ Data Protection Laws shall mean: (i) Directive 95/46/EC of the European Parliament and the Council of 24 October 1995 on the protection of individuals with regard to the processing of personal data and on the free movement of such data (the “Directive”); (ii) any implementing, derivative or related legislation of any member state in the European Economic Area of the Directive; (iii) the Swiss Federal Act on Data Protection 1992; (iv) the Health Insurance Portability and Accountability Act of 1996, in the United States of America (HIPAA); or (v) law or regulation in your jurisdiction, relating to the processing of personal data, personal health information and privacy, each alone or in combination as may be applicable to provision of tumor profiling services in your jurisdiction.



- staff; and
- as otherwise required by applicable laws in your region and the U.S.

Caris may use leftover specimen materials, molecular profiling information and clinical information without any association to your Personal Data for research, education and training purposes to optimize the different tests and improve healthcare operations, when permitted under applicable laws and regulations. Your Personal Data (i.e., your name, patient number, date of birth, gender, address, medical history and pathology report) will not be disclosed or otherwise used in this context without your specific additional consent.

Rights to your Personal Data

You may have the rights to access, correct, and make a copy of your Personal Data held by or on behalf of your healthcare provider. For further information on how your Personal Data is used, how security is maintained and your rights to access, please contact your healthcare provider.

Consent

I have read and understand the information provided in this Patient Data Protection Consent for Molecular Profiling, have had any questions about it answered, and hereby voluntarily provide my express consent to the collection, use, processing, transfer and disclosure of my specimen and Personal Data (including medical information and sensitive data) as described in this Patient Data Protection Consent for Molecular Profiling.

Patient Name (printed)

Patient Signature

Date