

**FINAL REPORT**

PATIENT	SPECIMEN INFORMATION	ORDERED BY
<b>Name:</b> Patient, Test <b>Date of Birth:</b> XX-Mon-19XX <b>Sex:</b> Male <b>Case Number:</b> TN16-XXXXXX <b>Diagnosis:</b> Adenocarcinoma, NOS	<b>Primary Tumor Site:</b> Body of pancreas <b>Specimen Site:</b> Pancreas, NOS <b>Specimen ID:</b> ABC-1234-XY <b>Specimen Collected:</b> XX-Mon-2016 <b>Testing Completed:</b> XX-Mon-2016	<b>Ordering Physician, MD</b> <b>Cancer Center</b> 123 Main Street Springfield, XY 12345 (123) 456-7890

**Bold Therapies** = On NCCN Compendium® Therapies

THERAPIES WITH <b>POTENTIAL BENEFIT</b> (PAGE 4)					
<b>capecitabine, fluorouracil</b>	TS*	<b>gemcitabine</b>	RRM1*	pemetrexed	TS*
<b>cisplatin, oxaliplatin</b>	ERCC1	carboplatin	ERCC1		

★ Indicates Clinical Trial Opportunity • 252 Chemotherapy Trials • 43 Targeted Therapy Trials (See Clinical Trials Connector™ on page 7 for details.)

THERAPIES WITH <b>POTENTIAL LACK OF BENEFIT</b> (PAGE 5)					
<b>docetaxel, nab-paclitaxel</b>	TUBB3	dabrafenib, vemurafenib	BRAF	paclitaxel	TUBB3

THERAPIES WITH <b>INDETERMINATE BENEFIT</b> (PAGE 6)					
<b>irinotecan</b> <sup>†</sup>		imatinib		topotecan <sup>†</sup>	
everolimus, temsirolimus					

<sup>†</sup>Association to Benefit was not indicated due to assay failure.

Therapies associated with potential benefit or lack of benefit, as indicated above, are based on biomarker results provided in this report and are based on published medical evidence. This evidence may have been obtained from studies performed in the cancer type present in the tested patient's sample or derived from another tumor type. The selection of any, all, or none of the matched therapies resides solely with the discretion of the treating physician. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all available information in addition to this report concerning the patient's condition in accordance with the applicable standard of care.

**SUMMARY OF RESULTS** (SEE APPENDIX FOR FULL DETAILS)

Assay	Result
Total Mutational Load	Low   9 Mutations / Megabase

Biomarker	Method	Result	Biomarker	Method	Result
AKT2	NGS	Amplification Not Detected	GATA3	NGS	Amplification Not Detected
ALK	NGS	Amplification Not Detected	Her2/Neu	NGS	Amplification Not Detected
ARID1A	NGS	Amplification Not Detected	Her2/Neu (ERBB2)	NGS	Mutation Not Detected
ATM	NGS	Mutation Not Detected	IDH1	NGS	Mutation Not Detected
AURKB	NGS	Amplification Not Detected	KDR (VEGFR2)	NGS	Amplification Not Detected
BRAF	NGS	Mutation Not Detected	KRAS	NGS	Mutated, Pathogenic Exon 2   G12D
BRCA1	NGS	Mutation Not Detected	MCL1	NGS	Amplification Not Detected
BRCA2	NGS	Mutation Not Detected	MDM2	NGS	Amplification Not Detected
c-KIT	NGS	Mutation Not Detected	MEK1	NGS	Amplification Not Detected
CCND1	NGS	Amplification Not Detected	MLH1	IHC	Positive   1+, 80%
CCND3	NGS	Amplification Not Detected	MSH2	IHC	Positive   1+, 100%
CCNE1	NGS	Amplification Not Detected	MSH6	IHC	Positive   1+, 50%
CDK4	NGS	Amplification Not Detected	MYC	NGS	Amplification Not Detected
CDK6	NGS	Amplification Not Detected	NF2	NGS	Amplification Not Detected
CDK8	NGS	Amplification Not Detected	NFKBIA	NGS	Amplification Not Detected
CDKN2A	NGS	Amplification Not Detected	NRAS	NGS	Mutation Not Detected
cMET	NGS	Amplification Not Detected	NTRK1	NGS	Amplification Not Detected
	NGS	Mutation Not Detected	PD-1	IHC	Negative   0/HPF
CREBBP	NGS	Amplification Not Detected	PD-L1	IHC	Negative   2+, 1%
CRKL	NGS	Amplification Not Detected	PDGFRA	NGS	Mutation Not Detected
EGFR	NGS	Amplification Not Detected	PIK3CA	NGS	Mutation Not Detected
	NGS	Mutation Not Detected	PMS2	IHC	Positive   1+, 5%
EP300	NGS	Amplification Not Detected		NGS	Amplification Not Detected
ERCC1	IHC	Negative   0, 100%	RB1	NGS	Mutated, Pathogenic Exon 19   c.1960+1G>T
EZH2	NGS	Amplification Not Detected	RET	NGS	Mutation Not Detected
FGF10	NGS	Amplification Not Detected	RICTOR	NGS	Amplification Not Detected
FGF3	NGS	Amplification Not Detected	ROS1	NGS	Amplification Not Detected
FGF4	NGS	Amplification Not Detected	RRM1	IHC	Negative   2+, 10%
FGFR1	NGS	Amplification Not Detected	TOP1	NGS	Amplification Not Detected
FGFR2	NGS	Amplification Not Detected			
FGFR3	NGS	Amplification Not Detected			

**IHC:** Immunohistochemistry

**NGS:** Next-Generation Sequencing

Biomarker Results continued on the next page. >

**PATIENT:** Patient, Test (XX-Mon-19XX)

**TN16-XXXXXX**

**PHYSICIAN:** Ordering Physician, MD

**SUMMARY OF RESULTS** (SEE APPENDIX FOR FULL DETAILS)

Biomarker	Method	Result	Biomarker	Method	Result
TOPO1	IHC	Test Not Performed	TS	IHC	Negative   0, 100%
TP53	NGS	Mutated, Pathogenic	TUBB3	IHC	Positive   2+, 100%
		Exon 7   Y234C	WT1	NGS	Amplification Not Detected
TrkA/B/C	IHC	Negative   0, 100%			

**IHC:** Immunohistochemistry

**NGS:** Next-Generation Sequencing

The Next-Generation Sequencing results above include only the genes most commonly associated with cancer. See summary below and for full Next-Generation Sequencing results, see Appendix page 1.

Genes tested: 592 | Genes with actionable mutations: 3 | Genes with unclassified mutations: 17 | Genes with no mutations detected: 557

See the Appendix section for a detailed overview of the biomarker test results for each technology.

SAMPLE REPORT. FOR ILLUSTRATIVE PURPOSES ONLY. NOT FOR CLINICAL USE.

**PATIENT:** Patient, Test (XX-Mon-19XX)

**TN16-XXXXXX**

**PHYSICIAN:** Ordering Physician, MD

✓ THERAPIES WITH **POTENTIAL BENEFIT**

Therapies	Test	Method	Result	Value <sup>†</sup>	Clinical Association				
					Potential Benefit	Decreased Potential Benefit	Lack of Potential Benefit	Highest Level of Evidence*	Reference
<b>capecitabine, fluorouracil, pemetrexed</b>	<b>TS</b>	IHC	Negative	0+ 100%	✓			I / Good	1, 2, 3
<b>carboplatin, cisplatin, oxaliplatin</b>	<b>ATM</b>	NGS	Mutation Not Detected					II-2 / Good	13, 14, 15
	<b>BRCA1</b>	NGS	Mutation Not Detected					II-2 / Good	9, 10, 11 <sup>#</sup> , 12
	<b>BRCA2</b>	NGS	Mutation Not Detected					II-2 / Good	9, 10, 11 <sup>#</sup>
	<b>ERCC1</b>	IHC	Negative	0+ 100%	✓			II-2 / Good	4, 5, 6, 7, 8
<b>gemcitabine</b>	<b>RRM1</b>	IHC	Negative	2+ 10%	✓			I / Good	27

\* The level of evidence for all references is assigned according to the Literature Level of Evidence Framework consistent with the US Preventive Services Task Force described further in the Appendix of this report. The data level of each biomarker-drug interaction is the highest level of evidence based on the body of evidence, overall clinical utility, competing biomarker interactions and tumor type from which the evidence was gathered.

# Evidence reference includes data from the same lineage as the tested specimen.

†Refer to Appendix for detailed Result and Value information for each biomarker, including appropriate cutoffs, unit of measure, etc.

**X THERAPIES WITH POTENTIAL LACK OF BENEFIT**

Therapies	Test	Method	Result	Value <sup>†</sup>	Clinical Association				
					Potential Benefit	Decreased Potential Benefit	Lack of Potential Benefit	Highest Level of Evidence*	Reference
<u>dabrafenib, vemurafenib</u>	<u>BRAF</u>	NGS	Mutation Not Detected				✓	I / Good	16, 17, 18, 19
<u>docetaxel, nab-paclitaxel, paclitaxel</u>	<u>TUBB3</u>	IHC	Positive	2+ 100%			✓	I / Good	20, 21, 22, 23

\* The level of evidence for all references is assigned according to the Literature Level of Evidence Framework consistent with the US Preventive Services Task Force described further in the Appendix of this report. The data level of each biomarker-drug interaction is the highest level of evidence based on the body of evidence, overall clinical utility, competing biomarker interactions and tumor type from which the evidence was gathered.

†Refer to Appendix for detailed Result and Value information for each biomarker, including appropriate cutoffs, unit of measure, etc.

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**? THERAPIES WITH INDETERMINATE BENEFIT**  
(Biomarker results do not impact potential benefit or lack of potential benefit)

Therapies	Test	Method	Result	Value <sup>†</sup>	Clinical Association				
					Potential Benefit	Decreased Potential Benefit	Lack of Potential Benefit	Highest Level of Evidence*	Reference
<b>everolimus, temsirolimus</b>	<u>PIK3CA</u>	NGS	Mutation Not Detected			✓		II-2 / Good	24, 25, 26
<b>imatinib</b>	<u>c-KIT</u>	NGS	Mutation Not Detected				✓	II-2 / Good	31, 32
	<u>PDGFRA</u>	NGS	Mutation Not Detected				✓	II-3 / Good	28, 29, 30
<b>irinotecan, topotecan</b>	<u>TOPO1</u>	IHC	Technical Issues	Technical Issues					

\* The level of evidence for all references is assigned according to the Literature Level of Evidence Framework consistent with the US Preventive Services Task Force described further in the Appendix of this report. The data level of each biomarker-drug interaction is the highest level of evidence based on the body of evidence, overall clinical utility, competing biomarker interactions and tumor type from which the evidence was gathered.

†Refer to Appendix for detailed Result and Value information for each biomarker, including appropriate cutoffs, unit of measure, etc.

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### CLINICAL TRIALS CONNECTOR™

For a complete list of open, enrolling clinical trials visit MI Portal to access the [Clinical Trials Connector](#). This personalized, real-time web-based service provides additional clinical trial information and enhanced searching capabilities, including, but not limited to:

- Location: filter by geographic area
- Biomarker(s): identify specific biomarkers associated with open clinical trials to choose from
- Drug(s): search for specific therapies
- Trial Sponsor: locate trials based on the organization supporting the trial(s)

Visit [www.CarisMolecularIntelligence.com](http://www.CarisMolecularIntelligence.com) to view all matched trials.

CHEMOTHERAPY CLINICAL TRIALS (252)			
Drug Class	Biomarker	Method	Investigational Agent(s)
Antifolates (9)	TS	IHC	methotrexate, pemetrexed
Nucleoside analog (141)	RRM1	IHC	gemcitabine
Pyrimidine analog (102)	TS	IHC	capecitabine, fluorouracil

TARGETED THERAPY CLINICAL TRIALS (43)			
Drug Class	Biomarker	Method	Investigational Agent(s)
Cell cycle inhibitors (12)	RB1	NGS	LEE011, LY2606368, MK-1775, palbociclib
	TP53	NGS	
ERK inhibitors (2)	KRAS	NGS	BVD-523
MEK inhibitors (21)	KRAS	NGS	GDC-0973, PD0325901, XL518, selumetinib, trametinib
Multikinase inhibitors (7)	KRAS	NGS	regorafenib
p53-targeted biological agents (1)	TP53	NGS	modified vaccinia virus ankara vaccine expressing p53

() = represents the total number of clinical trials identified by the Clinical Trials Connector for the provided drug class or table.

## REFERENCES

SOURCE	LEVEL OF EVIDENCE*
1. Chen, C.-Y., P.-C. Yang, et al. (2011). "Thymidylate synthase and dihydrofolate reductase expression in non-small cell lung carcinoma: The association with treatment efficacy of pemetrexed." <i>Lung Cancer</i> 74(1): 132-138. <a href="#">View Citation Online</a>	II-1 / Good
2. Qiu, L.X., M.H. Zheng, et al. (2008). "Predictive value of thymidylate synthase expression in advanced colorectal cancer patients receiving fluoropyrimidine-based chemotherapy: Evidence from 24 studies." <i>Int. J. Cancer</i> : 123, 2384-2389. <a href="#">View Citation Online</a>	I / Good
3. Lee, S.J., Y.H. Im, et al. (2010). "Thymidylate synthase and thymidine phosphorylase as predictive markers of capecitabine monotherapy in patients with anthracycline- and taxane-pretreated metastatic breast cancer." <i>Cancer Chemother. Pharmacol.</i> DOI 10.1007/s00280-010-1545-0. <a href="#">View Citation Online</a>	II-3 / Good
4. Scheil-Bertram, S., A. Fisseler-Eckhoff, et al. (2010). "Excision repair cross-complementation group 1 protein overexpression as a predictor of poor survival for high-grade serous ovarian adenocarcinoma." <i>Gynecologic Oncology</i> . 119, 325-331. <a href="#">View Citation Online</a>	II-3 / Good
5. De Dosso, S., E. Zanellato, et al.(2013). "ERCC1 predicts outcome in patients with gastric cancer treated with adjuvant cisplatin-based chemotherapy". <i>Cancer Chemotherapy and Pharmacology</i> . 72:159-165. <a href="#">View Citation Online</a>	II-3 / Good
6. Li P., Y-J. Fang, et al. (2013). "ERCC1, defective mismatch repair status as predictive biomarkers of survival for stage III colon cancer patients receiving oxaliplatin-based adjuvant chemotherapy". <i>British Journal of Cancer</i> . 108:1238-1244. <a href="#">View Citation Online</a>	II-2 / Good
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8. Kaira, K., M. Serizawa, et al. (2011). "Expression of Excision Repair Cross-Complementation Group 1, Breast Cancer Susceptibility 1, and Beta-III-Tubulin in Thymic Epithelial Tumors". <i>Journal of Thoracic Oncology</i> . 6(3): 606-613. <a href="#">View Citation Online</a>	II-3 / Good
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10. Hennessy, B.T., G.B. Mills, et al. (2010) "Somatic mutations in BRCA1 and BRCA2 could expand the number of patients that benefit from poly (ADP ribose) polymerase inhibitors in ovarian cancer" <i>J Clin Oncol</i> . 28(22):3570-6 <a href="#">View Citation Online</a>	II-3 / Good
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12. Byrski, T., S. Narod, et al. (2009) "Pathologic complete response rates in young women with BRCA1-positive breast cancers after neoadjuvant chemotherapy." <i>J Clin Oncol</i> . 28(3):275-9. <a href="#">View Citation Online</a>	II-3 / Good
13. Bambury, R.M., J.E. Rosenberg, et al. (2015). "Association of somatic mutations in DNA damage repair (DDR) genes with efficacy of platinum-based chemotherapy in advanced urothelial carcinoma". <i>J Clin Oncol</i> . 33, (suppl; abstr 4532).	III / Good
14. Pennington, K.P., E.M. Swisher, et al. (2014). "Germline and somatic mutations in homologous recombination genes predict platinum response and survival in ovarian, fallopian tube, and peritoneal carcinomas". <i>Clin Cancer Res</i> . 20(3):764-775.	II-3 / Good
15. Plimack, E.R., E.A. Ross, et al. (2015). "Defects in DNA repair genes predict response to neoadjuvant cisplatin-based chemotherapy in muscle-invasive bladder cancer". <i>Eur Urol</i> . 68:959-967.	II-2 / Good
16. Flaherty, K.T., P.B. Chapman, et al. (2010). "Inhibition of Mutated, Activated BRAF in Metastatic Melanoma." <i>N Engl J Med</i> 363:809-819. <a href="#">View Citation Online</a>	II-2 / Good
17. Hauschild, A., P.B. Chapman, et al. (2012). "Dabrafenib in BRAF-mutated metastatic melanoma: a multicentre, open-label, phase 3 randomised controlled trial." <i>Lancet</i> 358-365. <a href="#">View Citation Online</a>	I / Good
18. Chapman, P.B., G.A. McArthur, et al. (2011). "Improved survival with vemurafenib in melanoma with BRAF V600E mutation." <i>N. Engl. J. Med.</i> This article (10.1056/NEJMoa1103782) was published on June 5, 2011, at nejm.org. <a href="#">View Citation Online</a>	I / Good

\* See Appendix page 6 for Level of Evidence description.

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**TN16-XXXXXX**

**PHYSICIAN:** Ordering Physician, MD



## REFERENCES

SOURCE	LEVEL OF EVIDENCE*
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20. Ploussard, G., A. de la Taille, et al. (2010). "Class III $\beta$ -Tubulin Expression Predicts Prostate Tumor Aggressiveness and Patient Response to Docetaxel-Based Chemotherapy." <i>Clin Cancer Res</i> 70(22): 9253-9264. <a href="#">View Citation Online</a>	II-3 / Good
21. Gao, S., J. Gao, et al. (2012). "Clinical implications of REST and TUBB3 in ovarian cancer and its relationship to paclitaxel resistance." <i>Tumor Biol</i> 33:1759-1765. <a href="#">View Citation Online</a>	II-3 / Good
22. Zhang, H.-L., X.-W. Zhou, et al. (2012). "Association between class III $\beta$ -tubulin expression and response to paclitaxel/vinorelbine-based chemotherapy for non-small cell lung cancer: A meta-analysis." <i>Lung Cancer</i> 77: 9-15. <a href="#">View Citation Online</a>	I / Good
23. Seve, P., C. Dumontet, et al. (2005). "Class III $\beta$ -tubulin expression in tumor cells predicts response and outcome in patients with non-small cell lung cancer receiving paclitaxel." <i>Mol Cancer Ther</i> 4(12): 2001-2007. <a href="#">View Citation Online</a>	II-3 / Good
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26. Janku, F., R. Kurzrock, et al. (2012). "PI3K/Akt/mTOR inhibitors in patients with breast and gynecologic malignancies harboring PIK3CA mutations." <i>Journal of Clinical Oncology</i> . DOI: 10.1200/JCO.2011.36.1196. <a href="#">View Citation Online</a>	II-3 / Good
27. Gong, W., J. Dong, et al. (2012). "RRM1 expression and clinical outcome of gemcitabine-containing chemotherapy for advanced non-small-cell lung cancer: A meta-analysis." <i>Lung Cancer</i> . 75:374-380. <a href="#">View Citation Online</a>	I / Good
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32. Carvajal, R.D., G.K. Schwartz, et al. (2011). "KIT as a therapeutic target in metastatic melanoma." <i>JAMA</i> . 305(22):2327-2334. <a href="#">View Citation Online</a>	II-2 / Good
33. Wells, S.A., M.J. Schlumberger, et al. (2012). "Vandetanib in Patients with Locally Advanced or Metastatic Medullary Thyroid Cancer: A Randomized, Double-Blind Phase III Trial." <i>J Clin Oncol</i> 30: 134-141. <a href="#">View Citation Online</a>	I / Good
34. Oza, A.M., M. Friedlander, et al. (2015). "Olaparib combined with chemotherapy for recurrent platinum-sensitive ovarian cancer: a randomised phase 2 trial." <i>Lancet Oncol.</i> 16:87-97. <a href="#">View Citation Online</a>	I / Good
35. Ledermann, J., U. Matulonis, et al. (2014). "Olaparib maintenance therapy in patients with platinum-sensitive relapsed serous ovarian cancer: a preplanned retrospective analysis of outcomes by BRCA status in a randomised phase 2 trial." <i>Lancet Oncol.</i> 15(8):852-61. <a href="#">View Citation Online</a>	I / Good
36. Kaufman, B., S.M. Domcheck, et al. (2015). "Olaparib monotherapy in patients with advanced cancer and a germline BRCA1/2 mutation". <i>J Clin Oncol.</i> 33(3): 244-250. <a href="#">View Citation Online</a>	II-1 / Good

\* See Appendix page 6 for Level of Evidence description.

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**TN16-XXXXXX**

**PHYSICIAN:** Ordering Physician, MD

## REFERENCES

SOURCE	LEVEL OF EVIDENCE*
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44. Slamon, D., M. Buyse, et al. (2011). "Adjuvant trastuzumab in HER2-positive breast cancer." N. Engl. J. Med. 365:1273-83. <a href="#">View Citation Online</a>	I / Good
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\* See Appendix page 6 for Level of Evidence description.

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**TN16-XXXXXX**

**PHYSICIAN:** Ordering Physician, MD

### SPECIMEN INFORMATION

**Specimen ID:** ABC-1234-XY

**Specimen Collected:** XX-Mon-2016

**Specimen Received:** XX-Mon-2016

**Testing Initiated:** XX-Mon-2016

**Gross description:** 1 (A) Paraffin Block - Client ID(ABC-1234-XY) from Springfield, XY, with the corresponding surgical pathology report labeled "ABC-123-XY."

**Pathologic Diagnosis:** Body and tail of pancreas, pancreatectomy with splenectomy: Ductal adenocarcinoma, G2.

#### Disclaimer

All of the individual assays that are available through Caris Molecular Intelligence™ were developed and validated by Caris MPI, Inc. d/b/a Caris Life Sciences® and their test performance characteristics were determined and validated by Caris Life Sciences pursuant to the Clinical Laboratory Improvements Amendments and accompanying regulations ("CLIA"). Some of the assays that are part of Caris Molecular Intelligence have been approved by the U.S. Food and Drug Administration (FDA). For any remaining assays, Caris MPI, Inc. is certified under CLIA to perform high complexity testing, including all of the assays that comprise the Caris Molecular Intelligence.

The CLIA certification number of Caris MPI, Inc. laboratory performing testing in connection with Caris Molecular Intelligence can be found at the bottom of each page. This report includes information about therapies that appear to be associated with clinical benefit based on NCCN Compendium® guidelines, relevance of tumor lineage, level of published evidence and strength of biomarker results. This report, neither ranks biomarkers listed nor therapies associated with such biomarkers, in order of potential or predicted efficacy, and such therapies may or may not be suitable for administration to a particular patient. A determination of biomarker results do not necessarily indicate pharmacologic effectiveness or lack thereof. This report does not guarantee or suggest that any particular agent will be effective with the treatment of any particular condition. Caris Life Sciences expressly disclaims and makes no representation or warranty whatsoever relating, directly or indirectly, to review of identified scientific literature, the conclusions drawn from such review or any of the information set forth in this report that is derived from such review, including information and conclusions relating to therapies that are included or omitted from this report.

Decisions regarding care and treatment should not be based on a single test such as this test or the information contained in this report. The decision to select any, all or none of the listed therapies resides solely within the discretion of the treating physician. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, including but not limited to, patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the applicable standard of care.

The information presented in the Clinical Trials Connector™ section of this report (if applicable) is compiled from sources believed to be reliable and current. We have used our best efforts to make this information as accurate as possible. However, the accuracy and completeness of this information cannot be guaranteed. The contents are to be used for clinical trial guidance and may not include all relevant trials. Current enrollment status for these trials is unknown. The clinical trials information present in the biomarker description was compiled from www.clinicaltrials.gov. The contents are to be used only as a guide, and health care providers should employ their judgment in interpreting this information for a particular patient. Specific eligibility criteria for each clinical trial should be reviewed as additional inclusion criteria may apply. Caris Life Sciences makes no promises or guarantees that a healthcare provider, insurer or other third party private or government payor, will provide reimbursement for any of the tests performed.

The next-generation sequencing assay performed by Caris Life Sciences examines nucleic acids obtained from tumor tissue only and does not examine normal tissue such as tumor adjacent tissue or whole or peripheral blood. As such, the origin of any mutation detected may be a somatic mutation (not inherited) or a germline mutation (inherited) and will not be distinguishable by this assay. It is recommended that results be considered within the patient's clinical and health history. If a germline inheritance pattern is suspected then counseling by a board certified genetic counselor is recommended.

Molecular testing of this specimen was performed after harvesting of targeted tissues with an approved manual microdissection technique. Candidate slides were examined under a microscope and areas containing tumor cells (and separately normal cells, when necessary for testing) were circled. A laboratory technician harvested targeted tissues for extraction from the marked areas using a dissection microscope. The areas marked and extracted were microscopically reexamined on post-microdissected slides and adequacy of microdissection was verified by a board certified Pathologist.

Electronic Signature



**PATIENT:** Patient, Test (XX-Mon-19XX)

**TN16-XXXXXX**

**PHYSICIAN:** Ordering Physician, MD

MUTATIONAL ANALYSIS BY **NEXT-GENERATION SEQUENCING (NGS)**

TOTAL MUTATIONAL LOAD		
Result	Mutations / Megabase (Mb)	Threshold
Low	9	≥ 17 Mutations per Mb

**Interpretation:** Total Mutational Load is calculated using only missense mutations that have not previously been reported as germline alterations. In colorectal cancer, all samples tested by our laboratory that exhibited microsatellite instability (MSI-H) had a Total Mutational Load of ≥ 17 mutations per megabase sequenced. Samples with 17 or more mutations may be hypermutated, which is a potential indicator of immunotherapy response. (Le et al., NEJM, 2015; Rizvi et al., Science, 2015; Rosenberg et al., Lancet, 2016; Snyder et al., NEJM, 2014)

GENES TESTED WITH ALTERATIONS				
Gene	Alteration	Frequency (%)	Exon	Result
KRAS	G12D	19	2	Mutated, Pathogenic
<b>Interpretation:</b> A pathogenic mutation was detected in KRAS				
KRAS or V-Ki-ras2 Kirsten rat sarcoma viral oncogene homolog encodes a signaling intermediate involved in many signaling cascades including the EGFR pathway. KRAS somatic mutations have been found in pancreatic (57%), colon (35%), lung (16%), biliary tract (28%), and endometrial (15%) cancers. Several germline mutations of KRAS (V14I, T58I, and D153V amino acid substitutions) are associated with Noonan syndrome.				
RB1	c.1960+1G>T	39	19	Mutated, Pathogenic
<b>Interpretation:</b> A pathogenic mutation that disrupts an intron splice site was detected in RB1				
RB1 or retinoblastoma-1 is a tumor suppressor gene whose protein regulates the cell cycle by interacting with various transcription factors, including the E2F family (which controls the expression of genes involved in the transition of cell cycle checkpoints). Besides ocular cancer, RB1 mutations have also been detected in other malignancies, such as ovarian (10%), bladder (41%), prostate (8%), breast (6%), brain (6%), colon (5%), and renal (2%) cancers. RB1 status, along with other mitotic checkpoints, has been associated with the prognosis of GIST patients. Germline mutations of RB1 are associated with the pediatric tumor, retinoblastoma. Inherited retinoblastoma is usually bilateral. Studies indicate patients with a history of retinoblastoma are at increased risk for secondary malignancies.				
TP53	Y234C	29	7	Mutated, Pathogenic
<b>Interpretation:</b> A mutation, Y234C, was detected in TP53. This mutation has been reported previously in numerous publications. In biochemical studies, it has been shown to cause the TP53 protein to become unstable at physiological temperatures, disrupting normal signaling (Dearth 2007 Carcinogenesis 28:289). Y234C has also been reported as a germline mutation, causal for Li-Fraumeni syndrome (Monti 2011 Mol Cancer Res 9:271).				
TP53, or p53, plays a central role in modulating response to cellular stress through transcriptional regulation of genes involved in cell-cycle arrest, DNA repair, apoptosis, and senescence. Inactivation of the p53 pathway is essential for the formation of the majority of human tumors. Mutation in p53 (TP53) remains one of the most commonly described genetic events in human neoplasia, estimated to occur in 30-50% of all cancers. Generally, presence of a disruptive p53 mutation is associated with a poor prognosis in all types of cancers, and diminished sensitivity to radiation and chemotherapy. Germline p53 mutations are associated with the Li-Fraumeni syndrome (LFS) which may lead to early-onset of several forms of cancer currently known to occur in the syndrome, including sarcomas of the bone and soft tissues, carcinomas of the breast and adrenal cortex (hereditary adrenocortical carcinoma), brain tumors and acute leukemias.				

GENES TESTED WITH NO MUTATIONS DETECTED				
ATM	BRCA2	EGFR	NRAS	RET
BRAF	c-KIT	Her2/Neu (ERBB2)	PDGFRA	
BRCA1	cMET	IDH1	PIK3CA	

Additional Next-Generation Sequencing results continued on the next page. >

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## MUTATIONAL ANALYSIS BY NEXT-GENERATION SEQUENCING (NGS)

The mutations reported in the section below have not been analyzed to determine clinical significance and are being reported for informational purposes. In addition, the origin of the reported mutations (germline or somatic) has not been determined. All variants listed in the dbSNP 137 common list have been excluded from this table, as well as non-coding variants that are not part of the conserved splice sequence (+/- 1 or 2 bases). All excluded variants and regions are available upon request. Certain gene regions were also excluded due to high homology with other loci in the genome.

GENES TESTED WITH UNCLASSIFIED MUTATIONS							
Gene	Alteration	Gene	Alteration	Gene	Alteration	Gene	Alteration
AFF1	K20R	ERCC4	I73V	MTOR	A329T	TRIP11	P1877T
BARD1	V507M	IGF1R	R437H	PCM1	N159S	USP6	I67_R68 delinsMW
BCL9	P375R	IL21R	R297Q	POLE	T1429S		I276L
CCDC6	P446L	KIAA1549	G1709D	TCF3	G431S		
ECT2L	V570A	KMT2D	R4162Q	TLX1	V134M		

GENES TESTED WITH INDETERMINATE RESULTS				
ATRX	CD79A	MED12	NOTCH2	PMS2
BIRC3	ELL	MXN1	NUTM2B	STAT5B
CASC5	KDM5C	MSN	PCSK7	VEGFB

For Next-Generation Sequencing, a total of 592 genes were analyzed. The results above include genes most commonly associated with cancer and any additional mutations identified. No alterations were identified in 557 genes. For a complete list of genes tested, visit [www.CarisMolecularIntelligence.com/profilemenu](http://www.CarisMolecularIntelligence.com/profilemenu).

### NGS Methods

Next Generation Sequencing: Direct sequence analysis was performed on genomic DNA isolated from a formalin-fixed paraffin-embedded tumor sample using the Illumina NextSeq platform. An Agilent custom-designed SureSelect XT assay was used to enrich 592 whole-gene targets. The genes and amino acids evaluated in this report can be found at [www.carislifesciences.com](http://www.carislifesciences.com). All variants reported by this assay are detected with > 99 % confidence based on the frequency of the mutation present and the amplicon coverage. This test is not designed to distinguish between germ line inheritance of a variant or acquired somatic mutation. This test has a sensitivity to detect as low as approximately 10 % population of cells containing a mutation. This test has not been cleared or approved by the United States Food and Drug Administration (FDA) as such approval is not necessary. All performance characteristics were determined by Caris Life Sciences. Benign and non-coding variants are not included in this report but are available upon request.

COPY NUMBER VARIATIONS BY **NEXT-GENERATION SEQUENCING (NGS)**

**GENES TESTED WITH NO AMPLIFICATION DETECTED**

AKT2	CDK4	EGFR	FGFR2	MEK1	ROS1
ALK	CDK6	EP300	FGFR3	MYC	TOP1
ARID1A	CDK8	EZH2	GATA3	NF2	WT1
AURKB	CDKN2A	FGF10	Her2/Neu	NFKBIA	
CCND1	cMET	FGF3	KDR	NTRK1	
CCND3	CREBBP	FGF4	MCL1	RB1	
CCNE1	CRKL	FGFR1	MDM2	RICTOR	

**CNV Methods**

Copy number variation was determined by comparing the depth of sequencing of genomic loci to a diploid control as well as the known performance of these genomic loci. Copy number gains  $\geq 8$  copies can be detected by this assay with  $>95\%$  sensitivity. Please note: high levels of polyploidy or scant tumor cells may prevent the detection of copy number changes.

SAMPLE REPORT. FOR ILLUSTRATIVE PURPOSES ONLY. NOT FOR CLINICAL USE.

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**TN16-XXXXXX**

**PHYSICIAN:** Ordering Physician, MD

PROTEIN EXPRESSION BY **IMMUNOHISTOCHEMISTRY (IHC)**

Biomarker	Patient Tumor			Thresholds*
	Staining Intensity (0, 1+, 2+, 3+)	Percent of cells	Result	Conditions for a Positive Result:
ERCC1	0	100	Negative	Intensity of $\geq 3+$ with $\geq 10\%$ or $\geq 2+$ with $\geq 50\%$ of cells stained
MLH1	1 +	80	Positive	Intensity $\geq 1+$ and $\geq 1\%$ of cells stained
MSH2	1 +	100	Positive	Intensity $\geq 1+$ and $\geq 1\%$ of cells stained
MSH6	1 +	50	Positive	Intensity $\geq 1+$ and $\geq 1\%$ of cells stained
PD-L1	2 +	1	Negative	Intensity $\geq 2+$ and $\geq 5\%$ of cells stained
PMS2	1 +	5	Positive	Intensity $\geq 1+$ and $\geq 1\%$ of cells stained
RRM1	2 +	10	Negative	Intensity $\geq 2+$ and $\geq 50\%$ of cells stained
TOPO1	Technical Issues	Technical Issues	Technical Issues	Intensity $\geq 2+$ and $\geq 30\%$ of cells stained
TrkA/B/C	0	100	Negative	Intensity $\geq 1+$ and $\geq 1\%$ of cells stained
TS	0	100	Negative	Intensity $\geq 1+$ and $\geq 10\%$ of cells stained
TUBB3	2 +	100	Positive	Intensity $\geq 2+$ and $\geq 30\%$ of cells stained

Electronic Signature

**IHC Methods**

These tests were developed and their performance characteristics determined by Caris MPI, Inc. d/b/a Caris Life Sciences®

\* Caris Life Sciences has defined threshold levels of reactivity of IHC to establish cutoff points based on published evidence. Polymer detection systems are used for each IHC.

\* HER2 results and interpretation follow the ASCO/CAP scoring criteria. See reference section for more information.

\* Please note that PD-L1 staining is read from the membrane staining of cancer cells.

Clones used: TOPO1(1D6), TUBB3(Polyclonal), MLH1(M1), MSH2(G219-1129), MSH6(44), PMS2(EPR3947), ERCC1(8F1), PD-L1(SP142), TrkA/B/C(EPR17341), RRM1(Polyclonal), TS(TS106/4H4B1).

Biomarker	TIL Count/HPF w/40X Objective	Result	Threshold (Condition for a Positive Result)
PD-1	0/HPF	Negative	Intensity $\geq 1+$

Electronic Signature

**IHC Methods**

These tests were developed and their performance characteristics determined by Caris MPI, Inc. d/b/a Caris Life Sciences®

\* Please note that PD1 staining is read from the tumor infiltrating lymphocytes (TIL). Clones used: PD-1(MRQ-22).

Clones used: PD-1(MRQ-22).

Additional IHC results continued on the next page. >

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PROTEIN EXPRESSION BY **IMMUNOHISTOCHEMISTRY (IHC)**

**Comments on IHC Analysis**

Appropriate staining for TOPO1 was not achieved, thus no result is reported for this biomarker.

SAMPLE REPORT. FOR ILLUSTRATIVE PURPOSES ONLY. NOT FOR CLINICAL USE.

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**LITERATURE LEVEL OF EVIDENCE ASSESSMENT FRAMEWORK\***

STUDY DESIGN	
Hierarchy of Design	Criteria
I	Evidence obtained from at least one properly designed <b>randomized controlled trial</b> .
II-1	Evidence obtained from well-designed controlled trials <b>without randomization</b> .
II-2	Evidence obtained from well-designed cohort or case-control analytic studies, preferably from more than one center or research group.
II-3	Evidence obtained from multiple time series with or without the intervention. Dramatic results in uncontrolled trials might also be regarded as this type of evidence.
III	Evidence obtained from multiple time series with or without the intervention. Dramatic results in uncontrolled trials might also be regarded as this type of evidence.

STUDY VALIDITY	
Grade	Criteria
<b>Good</b>	The study is judged to be valid and relevant as regards results, statistical analysis, and conclusions and shows no significant flaws.
<b>Fair</b>	The study is judged to be valid and relevant as regards results, statistical analysis, and conclusions, but contains at least one significant but not fatal flaw.
<b>Poor</b>	The study is judged to have a fatal flaw such that the conclusions are not valid for the purposes of this test.

\* Adapted from Harris, T., D. Atkins, et al. (2001). "Current Methods of the U.S. Preventive Services Task Force." Am J Prev Med 20(3S)

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